

From: Chang, Lisa
Sent time: 05/13/2016 01:23:09 PM
To: Norman, Rachel <norman@nwifc.org>; Dietrich Schmitt <dschmitt@nwifc.org>
Cc: Chang, Lisa
Subject: Notes from 5/13/16 call

Follow up items in yellow highlight.

- Talk about how T&C flow down to subawardees – Lisa find material used with all LOs
- Lisa – request change of PM to Rachel on award document
- Kathy Tsing-Choy is new GS – Lisa confirm?
- Cost principles, audit requirements – Dietrich working with Rachel on how these apply to review of subawards. Rachel will be going to omni-circular training. Dietrich has a lot of dialogue with accounting firms that do this work; they provide webinars, annual training in DC. NWIFC directs a lot of resources into grants training. NWIFC sometimes gets something from Moss-Adams, sometimes they host webinars on omni-circulars.
- Audit requirements – NWIFC reviews each subawardee's audit reports. If there are audit findings, must ensure corrective findings are in place. Passes on whole cloth to subawardees. Over last few years, maybe 3-4 circumstances. Davis-Bacon, suspension/disbarment. For their vendors, required to go to SAM, must ensure those vendors not suspended or disbarred. Tribe has not had appropriate policies and procedures in place to ensure compliance, could lead to questioned cost; then those vendors are subjected to a test, could lead to disallowed cost. But did not lead to disallowed cost. Corrective action plan would include how this would not happen again. NWIFC would issue a management decision letter either concurring, changes in internal record keeping (e.g., in case of disallowed cost).
- Paula's point - T&C 16 – Subaward Reporting and Executive Compensation – item c1 – is this occurring under capacity awards? LISA CHECK IN WITH YVETTE ON THIS
- LISA – FIND OUT WHEN THE LO COORDINATING CALLS WILL BE HAPPENING, HOW, ETC.
- LISA – RE-SEND NEW EPA SUBAWARD MONITORING POLICY.
- PROGRAMMATIC T&C 1 – ELECTRONIC AND INFORMATION TECHNOLOGY ACCESSIBILITY – DOES THIS APPLY TO WHATSUPSTREAM? LISA RESEARCH.
- HOW FEM COMPETENCY FLOWS THROUGH TO SUBAWARDEES – WE SHOULD TALK ABOUT THIS.
- “Review and approve technical deliverables” T&C 10 – does EPA review and approve final deliverables, does that mean we disapprove the work that led up to the deliverable and imply disallowed costs? What is EPA role? Needs some discussion and work going forward, could use clarification.
- STORET: 11 and 13 are redundant, was this corrected? NWIFC has its own data exchange, which uploads readily uploadable information into STORET; rest is in NWIFC data exchanges.
- QA – will schedule a separate call to follow up on Lisa's 5/12/16 e-mail.
- Also technical review T&C – not in this award, should be added. Need to talk more about what constitutes technical review.
- Table talking about RB T&C.